

Iron Bridge

Ministerial Statement 993

North Star Magnetite Project

Compliance Assessment Report 2015

March 2016

662MI-5500-RP-EN-0001

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EXECUTIVE SUMMARY

This Compliance Assessment Report (CAR) was prepared to meet the reporting requirements of Condition 4-6 of Ministerial Statement (MS) 993 and the North Star Magnetite Project Compliance Assessment Plan (CAP).

MS 993 was granted on 9 January 2015 for the North Star Magnetite Project (the Project), which is located approximately 100 kilometres (km) south of Port Hedland in the Pilbara Region of Western Australia (WA). MS 993 allows for the construction and operation of an open-cut iron ore mine and associated infrastructure (roads, administration buildings, accommodation camp, borefield and slurry pipeline).

A review of compliance with MS 993 conditions was undertaken and recorded in this CAR. As works have not yet commenced under MS 993, no non-compliances were recorded during the reporting period (9 January 2015 – 8 January 2016).

The requirement for a CAP to be submitted to the satisfaction of the CEO (Condition 4-1) has been met.

This CAR meets the requirement of Condition 4-6 to submit to the CEO the first CAR 15 months from the date of issue of MS 993 addressing the 12 month period from the date of MS 993.

An Audit Table has been completed and forms part of this CAR. A Statement of Compliance (Post Assessment Form 2) has been completed and is provided as Appendix 1.

1. INTRODUCTION

This Compliance Assessment Report (CAR) has been prepared to meet the reporting requirements of the Compliance Assessment Plan (CAP) developed by FMG Iron Bridge (Aust) Pty Limited (herein after referred to as Iron Bridge), and submitted to the Office of the Environmental Protection Authority (OEPA) on 2 October 2015 for Ministerial Statement (MS) 993.

MS 993 was granted on 9 January 2015 for the North Star Magnetite Project (the Project), which is located approximately 100 kilometres (km) south of Port Hedland in the Pilbara Region of Western Australia (WA). MS 993 allows for the construction and operation of an open-cut iron ore mine and associated infrastructure including roads, administration buildings, accommodation camp, borefield and slurry pipeline.

MS 993 states that a CAR is to be submitted annually to the OEPA and made publicly available by 5 April each year. This is the first CAR prepared for the Project and covers the reporting period of 9 January 2015 to 8 January 2016.

During the reporting period, works under MS 993 were not commenced. However a number of documents as required by MS 993 were submitted to and approved by the OEPA. These include:

- *Pityrodia* sp. Marble Bar Regional Survey Plan;
- Report documenting the results of the *Pityrodia* sp. Marble Bar Regional Survey;
- Conservation Significant Flora and Vegetation Survey Plan; and
- Cave 13 Structural Report.

2. COMPLIANCE AUDIT

Preston Consulting completed an assessment of compliance based on a review of the Conditions of MS 993 and the Compliance Reporting and Audit Schedule presented in the CAP. The compliance assessment of MS 993 for the reporting period (9 January 2015 - 8 January 2016) is presented in Table 1. Most conditions are 'not required' as works have not yet commenced under MS 993. A Statement of Compliance Form has been completed and is provided in Appendix 1.

Table 1: Compliance Audit of Ministerial Statement 993

Note:

- Phases that apply in this table = Pre-Construction, Construction, Operation, Decommissioning, Overall (several phases).
- This audit table is a summary and timetable of conditions and commitments applying to this project. Refer to the Minister's Statement for full detail/precise wording of individual elements.
- Code prefixes: M = Minister's condition, P = Proponent's commitment.
- Acronyms list: CAP = Compliance Assessment Plan; CAR = Compliance Assessment Report; CEO = Chief Executive Officer of OEPA; DER – Department of Environment Regulation; DAA = Department of Aboriginal Affairs; DMP = Department of Mines and Petroleum; DoH = Department of Health; DoW = Department of Water; DPaW = Department of Parks and Wildlife; EPA = Environmental Protection Authority of Western Australia; FMGIB = FMG Iron Bridge (Aust) Pty Ltd, Fortescue = Fortescue Metals Group Pty Ltd, Minister = Minister for the Environment; OEPA = Office of the Environmental Protection Authority of Western Australia; GDP = Ground Disturbance Permit; MS = Ministerial Statement; BMS = Business Management System; IBRA = Interim Biogeographic Regionalisation of Australia; TEC = Threatened Ecological Community; DRF = Declared Rare Flora.
- Compliance Status: C = Compliant, CLD = Completed, NA = Not Audited, NC = Non – compliant, NR = Not required at this stage. Please note the terms VR = Verification required and IP = In Process are only for OEPA use.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M1.1	Proposal Implementation	When implementing the proposal, the proponent shall not exceed the authorised extent of the proposal as defined in Column 3 of Table 2 in Schedule 1, unless amendments to the proposal and the authorised extent of the Proposal has been approved under the EP Act.	Internal Ground Disturbance Permit (GDP) system Digitising of disturbance Annual internal analysis reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Works have not commenced under MS 993.
993:M2.1	Contact Details	The proponent shall notify the CEO of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty eight (28) days of such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.	Correspondence uploaded to document management system. Compliance reported annually in CAR.	Copies of Correspondence	CEO		Overall	Within 28 days of any change	C	The proponent has not changed its name, physical address or postal address since the issue of MS 993.
993:M3.1	Time Limit for Proposal Implementation	The proponent shall not commence implementation of the proposal after the expiration of five (5) years from the date of this statement, and any commencement, within this five (5) year period, must be substantial.	Compliance reported in CAR when appropriate.	CAR	CEO		Overall	By 8 January 2020.	NR	The five year expiration date has not yet been reached.
993:M3.2	Time Limit for Proposal Implementation	Any commencement of implementation of the proposal, within five (5) years from the date of this statement, must be demonstrated as substantial by providing the CEO with written evidence, on or before the expiration of five (5) years from the date of this statement.	Correspondence uploaded to document management system. Compliance reported in CAR when appropriate.	Copies of Correspondence. CAR.	CEO		Overall	By 8 January 2020.	NR	Works have not commenced under MS 993.
993:M4.1	Compliance Reporting	The proponent shall prepare and maintain a compliance assessment plan to the satisfaction of the CEO.	Approved plan uploaded to document management system. Annual internal analysis of compliance, results reported annually in CAR.	Compliance Assessment Plan.	CEO		Overall	Preparation of the plan by 5 October 2015. Maintenance ongoing for the life of the Project.	C	Final CAP (662MI-0000-PL-EN-0001) was submitted to the OEPA on 2 October 2015 and uploaded to internal document control system. Final CAP accepted by OEPA in correspondence dated 14 October 2015 (Ref: AC09-2015-0003, 2015-0001270306).
993:M4.2	Compliance Reporting	The proponent shall submit to the CEO the compliance assessment plan required by condition 4-1 at least six (6) months prior to the first compliance assessment report required by condition 4-6, or prior to implementation, whichever is sooner. The compliance assessment plan shall indicate: (i) the frequency of compliance reporting; (ii) the approach and timing of compliance assessments; (iii) the retention of compliance assessments; (iv) the method of reporting of potential non-compliances and corrective actions taken;	Provision of CAP to OEPA. Copies of correspondence.	Compliance Assessment Plan.	CEO		Pre-construction	By 5 October 2015, or prior to ground disturbing activities (whichever is sooner).	CLD	Final CAP (662MI-0000-PL-EN-0001) submitted to OEPA on 2 October 2015. Submission date was prior to any works commencing and 6 months prior to the submission of this CAR. Final CAP accepted by OEPA in correspondence dated 14 October 2015 (Ref: AC09-

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
		(v) the table of contents of compliance assessment reports; and (vi) public availability of compliance assessment reports.								2015-0003, 2015-0001270306).
993:M4.3	Compliance Reporting	The proponent shall assess compliance with conditions in accordance with the compliance assessment plan required by condition 4-1.	Annual internal analysis of compliance reported in CAR. CARs uploaded to document management system.	CAR	CEO		Overall	Annually, prior to 31 March (covering the previous calendar year).	C	This CAR assesses compliance with the CAP in accordance with the requirements of Condition 4-1. The CAR will be uploaded to the document management system.
993:M4.4	Compliance Reporting	The proponent shall retain reports of all compliance assessments described in the compliance assessment plan required by condition 4-1 and shall make those reports available when requested by the CEO.	CARs uploaded to document management system.	CAR	CEO		Overall	Ongoing for the life of the Project.	C	This CAR will be uploaded to the internal document management system.
993:M4.5	Compliance Reporting	The proponent shall advise the CEO of any potential non-compliance within seven (7) days of that non-compliance being known.	Monthly obligations checks to verify compliance. Any potential non-compliances reported in BMS. Notification to the CEO of any non-compliance uploaded to document management system.	Copies of Correspondence. CAR	CEO		Overall	Within 7 days of the non-compliance being known.	C	No non-compliances have been recorded to date.
993:M4.6	Compliance Reporting	The proponent shall submit to the CEO the first compliance assessment report fifteen (15) months from the date of issue of this Statement addressing the twelve (12) month period from the date of issue of this Statement and then annually from the date of submission of the first compliance assessment report, or as otherwise agreed in writing by the CEO. The compliance assessment report shall: (i) be endorsed by the proponent's Managing Director or a person delegated to sign on the Managing Director's behalf; (ii) include a statement as to whether the proponent has complied with the conditions; (iii) identify all potential non-compliances and describe corrective and preventative actions taken; (iv) be made publicly available in accordance with the approved compliance assessment plan; and (v) indicate any proposed changes to the compliance assessment plan required by condition 4-1.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Annually from 31 March 2016.	C	This is the first CAR and has been submitted within 15 months of the date of issue of MS 993. This CAP has been endorsed by the CEO (Ref: AC09-2015-0003, 2015-0001270306), includes a statement of compliance (see Appendix 1), identifies and describes all potential non-compliances, will be made publicly available in accordance with the OEPA's Post Assessment Guideline: <i>Making information publicly available</i> (PAG4) and outlines any proposed changes to the CAP.
993:M5.1	Public Availability of Data	Subject to condition 5-2, within a reasonable time period approved by the CEO of the issue of this statement and for the remainder of the life of the proposal the proponent shall make publicly available, in a manner approved by the CEO, all validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps)) relevant to the assessment of this proposal and implementation of this Statement.	All data required will be made available upon request in accordance with the OEPA's Post Assessment Guideline No. 4. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Within a reasonable time period approved by the CEO.	C	The North Star Magnetite Project Public Environmental Review document has been made publicly available in accordance with the OEPA's Post Assessment Guideline: <i>Making information publicly available</i> (PAG4).
993:M5.2	Public Availability of Data	If any data referred to in condition 5-1 contains particulars of: (i) a secret formula or process; or (ii) confidential commercially sensitive information; the proponent may submit a request for approval from the CEO to not make this data publicly available. In making such a request the proponent shall provide the CEO with an explanation and reasons why the data should not be made publicly available.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	No requests have been submitted

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M6.1	Priority 1 Pityrodia sp. Marble Bar Mine Infrastructure Plan within Mine Development Envelope	Prior to the disturbance of any individuals of <i>Pityrodia</i> sp. Marble Bar within the Mine Development Envelope, the proponent shall prepare and submit a <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan to the CEO.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Requirements of the Plan included in internal GDP process. Annual internal analysis of compliance reported in CAR.	<i>Pityrodia</i> sp. Marble Bar Infrastructure Plan. CAR	CEO		Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	NR	A <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan has not been developed to date as works have not commenced under MS 993 and no disturbance to individuals of <i>Pityrodia</i> sp. Marble Bar has occurred.
993:M6.2	Priority 1 Pityrodia sp. Marble Bar Mine Infrastructure Plan within Mine Development Envelope	The <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan required by condition 6-1 shall: (i) demonstrate that mine and associated infrastructure within the Mine Development Envelope will be located to avoid or minimise the direct loss of <i>Pityrodia</i> sp. Marble Bar, as far as practicable; and (ii) include spatially accurate, rectified and geographically referenced data and maps showing the location of the mine and associated infrastructure within the Mine Development Envelope.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Annual internal analysis of compliance reported in CAR.	<i>Pityrodia</i> sp. Marble Bar Infrastructure Plan. CAR	CEO		Overall	Ongoing for the life of the Project.	NR	A <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan has not been developed to date as works have not commenced under MS 993 and no disturbance to individuals of <i>Pityrodia</i> sp. Marble Bar has occurred.
993:M6.3	Priority 1 Pityrodia sp. Marble Bar Mine Infrastructure Plan within Mine Development Envelope	Prior to the disturbance of any individuals of <i>Pityrodia</i> sp. Marble Bar within the Mine Development Envelope the proponent shall implement the <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan required by condition 6-1.	Requirements of the Plan included in internal GDP process. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	NR	A <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan has not been developed to date as works have not commenced under MS 993 and no disturbance to individuals of <i>Pityrodia</i> sp. Marble Bar has occurred.
993:M6.4	Priority 1 Pityrodia sp. Marble Bar Mine Infrastructure Plan within Mine Development Envelope	Revisions to the <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan may be approved by the CEO.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work.	Revised <i>Pityrodia</i> sp. Marble Bar Infrastructure Plan.	CEO		Overall	Ongoing for the life of the Project.	NR	A <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan has not been developed to date as works have not commenced under MS 993 and no disturbance to individuals of <i>Pityrodia</i> sp. Marble Bar has occurred.
993:M6.5	Priority 1 Pityrodia sp. Marble Bar Mine Infrastructure Plan within Mine Development Envelope	The proponent shall implement revisions of the <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan approved under condition 6-4.	Requirements of the Plan included in internal GDP process. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	A <i>Pityrodia</i> sp. Marble Bar Mine Infrastructure Plan has not been developed to date as works have not commenced under MS 993 and no disturbance to individuals of <i>Pityrodia</i> sp. Marble Bar has occurred.
993:M7.1	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The proponent shall ensure that ground disturbing activities do not affect the viability of <i>Pityrodia</i> sp. Marble Bar, through the implementation of conditions 7-2 to 7-9.	Compliance reported annually in the CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Ground disturbing activities have not yet commenced under MS 993.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M7.2	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The proponent shall not disturb any individuals of <i>Pityrodia</i> sp. Marble Bar within the Mine Development Envelope prior to: (i) preparing and submitting a <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan to the CEO; and (ii) receiving written notice from the CEO, having consulted Department of Parks and Wildlife, that the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan meets the requirements of condition 7-4.	Consultants to prepare survey plan. Consultants to implement survey plan. Consultants are suitably qualified to undertake survey and provide data analysis. Results are used to develop infrastructure plan required under Condition 6. Compliance reported annually in the CAR.	<i>Pityrodia</i> sp. Marble Bar Regional Survey Plan Written notification from CEO CAR	CEO	DPAW	Pre-construction	Prior to approval of the CEO.	C	A copy of the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan (NS-PL-E) has been submitted to and approved by the OEPA (Ref: 2015-0001070843; AC05-2015-0023). It was noted in this correspondence that DPaW were consulted and were satisfied with the proposed management approaches. Ground disturbing activities have not yet commenced under MS 993.
993:M7.3	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The objective of the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan is to clarify the conservation status of <i>Pityrodia</i> sp. Marble Bar.	Consultants to prepare survey plan. Consultants to implement survey plan. Consultants are suitably qualified to undertake survey and provide data analysis. Results are used to develop infrastructure plan required under Condition 6.	<i>Pityrodia</i> sp. Marble Bar Regional Survey Plan.	CEO		Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	C	A copy of the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan (NS-PL-EN-0006) has been submitted to and approved by the OEPA (Ref: 2015-0001070843; AC05-2015-0023). The conservation status of <i>Pityrodia</i> sp. Marble Bar was listed as "Schedule 2 – Flora that are considered likely to become extinct or rare, as endangered flora" in the new Threatened Fauna and Flora Notices [<i>Wildlife Conservation (Specially Protected Fauna) Notice 2015</i> and <i>Wildlife Conservation (Rare Flora) Notice 2015</i>], which was published in the Government Gazette on 3 November 2015.
993:M7.4	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan shall: (i) include a desktop assessment to confirm the number of individuals of <i>Pityrodia</i> sp. Marble Bar known in the Pilbara, prior to undertaking the <i>Pityrodia</i> sp. Marble Bar Regional Survey; (ii) detail and describe the most suitable timing for the <i>Pityrodia</i> sp. Marble Bar Regional Survey, including the appropriate season(s) to undertake a robust survey of the regional distribution of <i>Pityrodia</i> sp. Marble Bar, to accurately detect and document the distribution and population size of the species; and (iii) detail and describe an approach to spatially defining the population and providing a count of the total number of individuals located during the regional survey.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Compliance reported annually in the CAR.	Written notification from the CEO.			Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	C	A copy of the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan (NS-PL-EN-0006) has been submitted to and approved by the OEPA (Ref: 2015-0001070843; AC05-2015-0023). The <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan included a desktop assessment, details for timing of survey and details for spatially defining the population and number of individuals.
993:M7.5	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The proponent shall implement the approved <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan required by condition 7-2(i).	Consultants to implement survey plan. Consultants are suitably qualified to undertake survey and provide data analysis. Results are used to develop infrastructure plan required under Condition 6.	CAR	CEO		Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	CLD	The <i>Pityrodia</i> sp. Marble Bar Regional Survey (Ecologia, 2015) was completed in September 2015 prior to the commencement of any ground disturbing activities.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M7.6	Priority 1 <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan	Revisions to the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan may be approved by the CEO.	Plan prepared and uploaded to document management system.	Written notification from the CEO	CEO		Pre-construction	Prior to disturbance of <i>Pityrodia</i> sp. Marble Bar.	NR	A revision of the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan (NS-PL-EN-0006) was not required during the reporting period.
993:M7.7	Priority 1 <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan	The proponent shall report to the CEO and the Department of Parks and Wildlife the outcomes of the <i>Pityrodia</i> sp. Marble Bar Regional Survey as required by the <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan or any revisions thereof approved by the CEO within 6 months of completion of the survey.	Consultants prepare and submit survey report.	Survey Report	CEO and DPAW		Overall	Within 6 months of the survey completion.	C	The <i>Pityrodia</i> sp. Marble Bar Regional Survey (Ecologia, 2015) was completed on 27 September 2015. A copy of the survey report was sent to the OEPA on 7 January 2016 and to DPAW on 9 February 2016. These both occurred within 6 months of the completion of the survey.
993:M7.8	Priority 1 <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan	In the event that <i>Pityrodia</i> sp. Marble Bar is DRF under the <i>Wildlife Conservation Act 1950</i> , the proponent shall, within six months or as agreed in writing from the CEO: (i) prepare and submit a <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan to the CEO, and seek written approval from the CEO, on the advice of the Department of Parks and Wildlife, that the <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan meets the requirements of condition 7-9.	<i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan.	<i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan.	CEO	DPAW	Overall	Within 6 months or <i>Pityrodia</i> sp. Marble Bar being declared as DRF or in a timeframe agreed in writing by the CEO.	NR	<i>Pityrodia</i> sp. Marble Bar was determined to be DRF in the new Threatened Fauna and Flora Notices [<i>Wildlife Conservation (Specially Protected Fauna) Notice 2015</i> and <i>Wildlife Conservation (Rare Flora) Notice 2015</i>], which was published in the Government Gazette on 3 November 2015. This condition requires that a <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan be prepared and submitted by 6 May 2016. A request has been sent (Ref: UID 56520) to the OEPA requesting an extension of this deadline to 31 December 2016.
993:M7.9	Priority 1 <i>Pityrodia</i> sp. Marble Bar Regional Survey Plan	The <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan identified in condition 7-8 shall include: (i) details on research to be undertaken into the habitat, biology and conservation of the species; (ii) details of suitable conservation measures such as seed collection and germplasm storage, seeding or translocation trials to be undertaken to determine the likelihood of successful establishment, during mine site rehabilitation or other suitable measures, for conservation of the species; (iii) timeframes and responsibilities for the implementation of proposed conservation measures; and (iv) a monitoring programme and criteria for determining the efficacy of proposed conservation measures.	Consultants to prepare conservation plan. Consultants are suitably qualified to prepare plan. Plan uploaded to document management system. Annual internal analysis of compliance reported in CAR.	<i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan.	CEO		Overall	Ongoing for the life of the Project.	NR	<i>Pityrodia</i> sp. Marble Bar was determined to be DRF in the new Threatened Fauna and Flora Notices [<i>Wildlife Conservation (Specially Protected Fauna) Notice 2015</i> and <i>Wildlife Conservation (Rare Flora) Notice 2015</i>], which was published in the Government Gazette on 3 November 2015. Condition 993:M7.8 requires that a <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan be prepared and submitted by 6 May 2016. A request has been sent (Ref: UID 56520) to the OEPA requesting an extension of this deadline to 31 December 2016.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M7.10	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	Prior to disturbing any individuals of <i>Pityrodia</i> sp. Marble Bar within the Mine Development Envelope the proponent shall implement the <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan required by condition 7-9.	Plan uploaded to document management system. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Upon gazettal of the species as rare flora and prior to any further disturbance of <i>Pityrodia</i> sp. Marble Bar.	NR	<i>Pityrodia</i> sp. Marble Bar was determined to be DRF in the new Threatened Fauna and Flora Notices [<i>Wildlife Conservation (Specially Protected Fauna) Notice 2015 and Wildlife Conservation (Rare Flora) Notice 2015</i>], which was published in the Government Gazette on 3 November 2015. Condition 993:M7.8 requires that a <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan be prepared and submitted by 6 May 2016. A request has been sent (Ref: UID 56520) to the OEPA requesting an extension of this deadline to 31 December 2016.
993:M7.11	Priority 1 Pityrodia sp. Marble Bar Regional Survey Plan	The proponent shall submit a report to the CEO documenting the results of the <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan, identifying the findings of the research required by condition 7-9 (i), and the success of the conservation measures required by condition 7-9 (ii), within 6 months of completion of the measures set out in the approved plan.	Consultants prepare and submit survey report.	Report	CEO		Overall	Within 6 months of completion of the measures set out in the approved plan.	NR	<i>Pityrodia</i> sp. Marble Bar was determined to be DRF in the new Threatened Fauna and Flora Notices [<i>Wildlife Conservation (Specially Protected Fauna) Notice 2015 and Wildlife Conservation (Rare Flora) Notice 2015</i>], which was published in the Government Gazette on 3 November 2015. Condition 993:M7.8 requires that a <i>Pityrodia</i> sp. Marble Bar Research and Conservation Plan be prepared and submitted by 6 May 2016. A request has been sent (Ref: UID 56520) to the OEPA requesting an extension of this deadline to 31 December 2016.
993:M8.1	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	The proponent shall not undertake any ground disturbing activities for construction of the linear infrastructure and the borefield within the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope prior to preparing and submitting a Conservation Significant Flora and Vegetation Survey Plan(s) for each corridor development envelope, that meets the requirements of condition 8-3, to satisfaction of the CEO.	Suitably qualified consultants to prepare survey plan. Suitably qualified consultants to implement survey plan. Results of survey analysed and reported by suitably qualified external consultant. Results used to prepare linear infrastructure plans.	Written notification from the CEO. CAR	CEO		Pre-construction	Prior to ground disturbance within the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope.	C	A copy of the Conservation Significant Flora and Vegetation Survey Plan (NS-PL-EN-0005) was submitted to the OEPA on 27 October 2014 and approved by the OEPA on 23 March 2015 (Ref: 2015-0001070843; AC05-2015-0023). The Conservation Significant Flora and Vegetation Survey Plan covered all corridor development envelopes. Works have not commenced under MS 993.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M8.2	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	The objective of the Conservation Significant Flora and Vegetation Survey Plan(s) is to identify and spatially define the location of conservation significant flora and vegetation, including DRF, Priority 1 Listed Flora species and TECs.	Results of survey analysed and reported by suitably qualified external consultant.	Conservation Significant Flora and Vegetation Survey Plan.	CEO		Overall	Ongoing for the life of the Project.	C	A copy of the Conservation Significant Flora and Vegetation Survey Plan (NS-PL-EN-0005) was submitted to the OEPA on 27 October 2014 and approved by the OEPA on 23 March 2015 (Ref: 2015-0001070843; AC05-2015-0023).
993:M8.3	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	The Conservation Significant Flora and Vegetation Survey Plan(s) shall: <ul style="list-style-type: none"> (i) identify and spatially map the corridor development envelope(s) that the survey(s) relate to, including the delineation of sections to be surveyed; (ii) describe and detail the methodology for surveying the corridor development envelope(s), including the approach, timing and sequential staging of surveys along sections of the corridor, delineated pursuant to condition 8-3(i); (iii) ensure that survey(s) are undertaken in accordance with EPA's Guidance Statement 51 - Terrestrial Flora and Vegetation Surveys for Environmental Impact Assessment in Western Australia (June 2004) or its revisions, to the requirements of the CEO on the advice of the Department of Parks and Wildlife; and (iv) identify and spatially define the locations of DRF, TECs and other conservation significant flora species and vegetation, within the sections of the specified corridor development envelope. 	Suitably qualified consultants to prepare survey plan.	Written notification from the CEO.	CEO	DPAW	Overall	Ongoing for the life of the Project.	C	A copy of the Conservation Significant Flora and Vegetation Survey Plan (NS-PL-EN-0005) was submitted to the OEPA on 27 October 2014 and approved by the OEPA on 23 March 2015 (Ref: 2015-0001070843; AC05-2015-0023).
993:M8.4	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	Prior to ground disturbing activities within the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope the proponent shall implement the Conservation Significant Flora and Vegetation Survey Plan(s) required by condition 8-1.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Pre-construction	Prior to ground disturbance within the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope.	NR	A Conservation Significant Flora and Vegetation Survey has been undertaken for the Slurry Corridor and Infrastructure Corridor Development Envelopes. A Conservation Significant Flora and Vegetation survey has yet to be completed for the Water Corridor Development Envelope, however no ground disturbing activities have commenced under MS 993.
993:M8.5	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	Revisions to the Conservation Significant Flora and Vegetation Survey Plan(s) may be approved by the CEO on the advice of the Department of Parks and Wildlife.	Suitably qualified consultants to prepare survey plan. Suitably qualified consultants to implement survey plan.	Written notification from the CEO.	CEO	DPAW	Overall	Ongoing for the life of the Project.	NR	Revisions to the Conservation Significant Flora and Vegetation Survey Plan (NS-PL-EN-0005) were not required during the reporting period.
993:M8.6	Conservation Significant Flora and Vegetation - Linear Infrastructure and Borefield Alignment Survey(s)	The proponent shall implement revisions of the Conservation Significant Flora and Vegetation Survey Plan(s) approved under condition 8-5.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Revisions to the Conservation Significant Flora and Vegetation Survey Plan (NS-PL-EN-0005) were not required during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M9.1	Linear Infrastructure and Borefield Alignment Plan(s)	The proponent shall ensure that linear infrastructure and the borefield within the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope are sited and constructed to avoid DRF, Priority 1 Listed Flora species and TECs where practicable, and minimise the impact to other conservation significant flora or vegetation.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	No works have commenced under MS 993. Design will aim to minimise impacts on DRF, Priority 1 Listed Flora species and TECs where practicable, and minimise the impact to other conservation significant flora or vegetation.
993:M9.2	Linear Infrastructure and Borefield Alignment Plan(s)	Prior to the commencement of any ground disturbing activities for the construction of linear infrastructure and borefield alignment the proponent shall prepare and submit a Linear Infrastructure and Borefield Alignment Plan(s), to the requirements of the CEO.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Requirements of the Plan included in applicable GDP. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Pre-construction	Prior to the commencement of any ground disturbing activities for the construction of linear infrastructure and borefield alignment.	NR	A Linear Infrastructure and Borefield Alignment Plan has not been prepared as no ground disturbing activities have commenced under MS 993.
993:M9.3	Linear Infrastructure and Borefield Alignment Plan(s)	The Linear Infrastructure and Borefield Alignment Plan(s) shall: (i) identify and spatially map the corridor development envelope that the plan(s) relates to; (ii) name and spatially map the section(s) of the corridor development envelope that are subject to construction activities, including their survey status, and survey outcomes; (iii) detail and provide the spatial alignment of the linear and/or borefield infrastructure within the surveyed section; (iv) demonstrate that linear infrastructure and/or the borefield within the section is sited and constructed to avoid DRF, TECs and Priority 1 Listed Flora where practicable, and minimise the impact to other conservation significant flora or vegetation identified and spatially defined in the Conservation Significant Flora and Vegetation Survey Plan(s); and (v) when implemented, manage the construction of the linear infrastructure and borefield alignment to meet the requirements of condition 9-1.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Requirements of the Plan included in applicable GDP.	Linear Infrastructure and Borefield Alignment Plans.	CEO		Overall	Ongoing for the life of the Project.	NR	A Linear Infrastructure and Borefield Alignment Plan has not been prepared as no ground disturbing activities have commenced under MS 993.
993:M9.4	Linear Infrastructure and Borefield Alignment Plan(s)	Prior to ground disturbing activities for the construction of the linear infrastructure and the borefield within the specific corridor development envelope sections, the proponent shall implement the Infrastructure and Borefield Alignment Plan(s).	Requirements of the Plan included in applicable GDP. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Pre-construction	Prior to the commencement of any ground disturbing activities.	NR	A Linear Infrastructure and Borefield Alignment Plan has not been prepared as no ground disturbing activities have commenced under MS 993.
993:M9.5	Linear Infrastructure and Borefield Alignment Plan(s)	Revisions to the Linear Infrastructure and Borefield Alignment Plan(s) may be approved by the CEO.	Plan prepared and uploaded to document management system. Plan included with specifications for engineering design work. Requirements of the Plan included in applicable GDP.	Written notification from the CEO	CEO		Overall	Ongoing for the life of the Project.	NR	A Linear Infrastructure and Borefield Alignment Plan has not been prepared as no ground disturbing activities have commenced under MS 993.
993:M9.6	Linear Infrastructure and Borefield Alignment Plan(s)	The proponent shall implement revisions of the Linear Infrastructure and Borefield Alignment Plan(s) approved under condition 9-5.	Requirements of the Plan included in applicable GDP. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	A Linear Infrastructure and Borefield Alignment Plan has not been prepared as no ground disturbing activities have commenced under MS 993.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M10.1	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The proponent shall implement the proposal in a manner that maintains the viability of the population of Pilbara Leaf-nosed Bat (<i>Rhinonicteris aurantia</i>) from Cave 13.	Monitoring of bat population at Cave 13. Analysis of monitoring data by external consultant. Monitoring results reported as per Bat Habitat and Research Plan. Compliance reported annually in the CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Works have not commenced under MS 993. Monitoring of the population of Pilbara Leaf-nosed Bat (<i>Rhinonicteris aurantia</i>) is already taking place to meet the requirements of the EPBC Listed Threatened Fauna Management Plan (NS-PL-EN-0003) as required by EPBC Approval 2012/6530).
993:M10.2	Terrestrial Fauna – Pilbara Leaf-nosed Bat	Subject to condition 10-3, there shall be no ground disturbing activity within the Mine Exclusion Zone, defined by a 100 metres buffer around the predicted lateral extent of Cave 13. The predicted lateral extent of Cave 13 and Mine Exclusion Zone are delineated in Figure 5 of Schedule 1, and the Mine Exclusion Zone is defined by the geographic coordinates in Schedule 2.	Internal GDP process to maintain exclusion zone. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	Works have not commenced under MS 993.
993:M10.3	Terrestrial Fauna – Pilbara Leaf-nosed Bat	Where: (i) a Cave 13 Structural Report, detailing geological evidence and Pilbara Leaf-nosed Bat expert advice, confirming the lateral extent of Cave 13, has been prepared and submitted to the CEO in accordance with condition 10-4 and condition 10-5; (ii) a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has been prepared by the proponent and approved by the CEO in accordance with condition 10-6 and condition 10-8 respectively; (iii) the proponent has implemented the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan and reported the outcomes of the implementation of the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan to the CEO; (iv) the proponent has provided written confirmation, on the review by the Department of Parks and Wildlife, to the CEO that a viable portion of the colony of Pilbara Leaf-nosed Bat from Cave 13 has relocated and established itself; (v) the Minister for Environment, on advice from the CEO, is satisfied that outcomes of the implementation of the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan demonstrate that ground-disturbing activity in the area designated Mining Exclusion Zone will not affect the viability of the Pilbara Leaf-nosed Bat; and (vi) the proponent has received the prior written advice of the Minister for Environment that ground-disturbing activity may occur in the area designated Mining Exclusion Zone, as is specified in the Minister's advice, then ground-disturbing activities may occur in the Mine Exclusion Zone as is specified in the written advice referred to in condition 10-3(v).	Consultant to undertake structural assessment and provide report. Report provided to CEO. Correspondence uploaded to document management system. Report is endorsed by suitably qualified bat expert. Consultant to prepare habitat and research plan. Annual internal analysis of compliance reported in CAR.	Written notification of the CEO. Written notification from the Minister.	(i) CEO (ii) CEO (iii) CEO (iv) CEO (v) Minister (vi) Minister	(iv) DPaW (v) Minister	Overall	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	Works have not commenced under MS 993. A Cave 13 Structural Report (GHD, 2015; UID 46240) was submitted to the OEPA on 18 May 2015 and approved by the OEPA on 17 August 2015 (Ref: AC09-2015-0003, 2015-0001147069).
993:M10.4	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The proponent shall prepare and submit a Cave 13 Structural Report to the satisfaction of the CEO, on advice from the Department of Parks and Wildlife.	Consultant to undertake structural assessment and provide report. Report provided to CEO.	Written notification from the CEO.	CEO	DPaW	Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	CLD	A Cave 13 Structural Report (GHD, 2015; UID 46240) was submitted to the OEPA on 18 May 2015 and approved by the OEPA on 17 August 2015 (Ref: AC09-2015-0003, 2015-0001147069).

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M10.5	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The Cave 13 Structural Report required by condition 10-4, shall include: (i) geological evidence of the lateral extent of the main chamber and off-chutes of Cave 13; and (ii) advice from a Pilbara Leaf-nosed Bat expert on the most likely lateral extent of Cave 13.	Consultant to undertake structural assessment and provide report. Report provided to CEO.	Written notification from the CEO.	CEO	(ii) a PLNB expert	Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	CLD	A Cave 13 Structural Report (GHD, 2015; UID 46240) was submitted to the OEPA on 18 May 2015 and approved by the OEPA on 17 August 2015 (Ref: AC09-2015-0003, 2015-0001147069). This included a survey of the lateral extent of the cave. The report was prepared by Mr Craig Grabham (Senior Ecologist at GHD) who has undertaken bat surveys across Australia.
993:M10.6	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The proponent shall prepare and submit a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan to the CEO.	Consultant to prepare habitat and research plan. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.
993:M10.7	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The objectives of the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan required by condition 10-3 are to: (i) provide evidence of alternative natural maternal roost site(s) for the Pilbara Leaf-nosed Bat existing within a 30 kilometre radius of Cave 13 which could support a viable portion of the colony of Pilbara Leaf-nosed Bat from Cave 13. The location of Cave 13 is shown in Figure 5 in Schedule 1 and defined by the geographic coordinates in Schedule 2; and (ii) demonstrate to the satisfaction of the CEO that a viable portion of the colony of Pilbara Leaf-nosed Bat from Cave 13 has relocated and established itself in an alternative maternal roost site.	Consultant to prepare habitat and research plan. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.
993:M10.8	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The Pilbara Leaf-nosed Bat Habitat Survey and Research Plan shall include: (i) a baseline monitoring survey of the Pilbara Leaf-nosed Bat population at Cave 13; (ii) a survey plan to collect information on additional natural maternal roost site(s) or potential artificial roost site(s), and any associated Pilbara Leaf-nosed Bat activity, within a 30 kilometre radius of the Cave 13 as shown in Figure 6 and defined by the geographic coordinates in Schedule 2; (iii) a research plan that details the conditions (including micro-climate) that are required for a maternal roost to allow roosting for the species; (iv) a description of the potential perpetuity of the maternal roost site(s) identified by condition 10-8(ii), specifically detailing the structural stability, size and lateral extent, geological characteristics and micro-climate of the structure as well as its tenure; (v) protocols and procedures to monitor Pilbara Leaf-nosed Bat behaviour, such as exiting the cave during daylight hours, as the proposal activities move into proximity of the Mine Exclusion Zone; and (vi) protocols and procedures to monitor the Pilbara Leaf-nosed Bat movement between Cave 13 and the alternative maternal roost site(s) identified.	Consultant to prepare habitat and research plan. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M10.9	Terrestrial Fauna – Pilbara Leaf-nosed Bat	After receipt of written advice from the CEO, having consulted with the Department of Parks and Wildlife, that the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan satisfies condition 10-7 and 10-8, the proponent shall implement the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan.	Annual internal analysis of compliance reported in CAR.	CAR	CEO	DPAW	Pre-disturbance	Following written advice from the CEO and prior to receiving Ministerial consent to disturb within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.
993:M10.10	Terrestrial Fauna – Pilbara Leaf-nosed Bat	Revisions to the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan may be approved by the CEO.	Consultant to prepare habitat and research plan. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.
993:M10.11	Terrestrial Fauna – Pilbara Leaf-nosed Bat	The proponent shall report to the CEO on the outcomes of the implementation of the Pilbara Leaf-nosed Bat Survey and Research Plan. The report to the CEO shall include: (i) the location(s) of alternative maternal roost site(s); (ii) a description of each of alternative maternal roost site(s) in terms of the structural stability, size and lateral extent, geological characteristics and micro-climate of the structure as well as its tenure; (iii) outcomes of the monitoring undertaken in accordance with the Pilbara Leaf-nosed Bat Habitat Survey and Research Plan to assess behaviour and movement of Pilbara Leaf-nosed Bat individuals to other areas as proposal's activities move into proximity of the Mine Exclusion Zone; (iv) evidence that a viable portion of the colony of Pilbara Leaf-nosed Bat from Cave 13, has relocated and established itself; and (v) advice from the Department of Parks and Wildlife that a viable portion of the colony of Pilbara Leaf-nosed Bat from Cave 13 have relocated and established itself.	Report provided to CEO.	Report submitted to CEO.	CEO	(v) DPAW	Pre-disturbance	Prior to receiving Ministerial consent for disturbance within the exclusion zone.	NR	As works have not commenced under MS 993, a Pilbara Leaf-nosed Bat Habitat Survey and Research Plan has not yet been prepared.
993:M11.1	Terrestrial Fauna - Northern Quoll	Prior to the commencement of ground disturbing activities within 50 metres of Northern Quoll foraging and denning habitat within the Mine Development Envelope, delineated as rocky ridge/breakaway/gorge habitat in Figure 7 of Schedule 1 and defined by the geographic coordinates in Schedule 2, the proponent shall prepare a Northern Quoll Management Plan in consultation with the Department of Parks and Wildlife, to the requirements of the CEO in order to demonstrate that condition 11-2 has been met.	Plan prepared by suitably qualified external consultant. Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO	DPAW	Pre-construction	Prior to the commencement of ground disturbing activities within 50 metres of Northern Quoll foraging and denning habitat.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.
993:M11.2	Terrestrial Fauna - Northern Quoll	The objective of the Northern Quoll Management Plan is to ensure that the proposal is carried out in a manner that minimises the direct and indirect impacts to the Northern Quoll (<i>Dasyurus hallucatus</i>).	Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M11.3	Terrestrial Fauna - Northern Quoll	The Northern Quoll Management Plan shall include: (i) census data for the Northern Quoll population within the Mine Development Envelope as shown in Figure 1 of Schedule 1 and defined by the geographic coordinates in Schedule 2, based on available survey information; (ii) spatial imagery detailing Northern Quoll foraging and denning habitat within the Mine Development Envelope; (iii) detailed management measures to minimise direct and indirect loss of the habitat mapped pursuant to condition 11-3(ii); (iv) protocols and procedures to monitor Northern Quoll presence, abundance and behaviour adjacent to the mine pit within the Mine Development Envelope identified by conditions 11-3(ii) during construction and operation; (v) detailed contingency responses, including modified operational procedures or translocation of animals out of impact zones, if monitoring required by condition 11-3(iv) show a decrease in Northern Quoll numbers or significant changes to Northern Quoll behaviour, to ensure condition 11-2 is met.	Plan prepared by suitably qualified external consultant. Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.
993:M11.4	Terrestrial Fauna - Northern Quoll	Prior to the commencement of ground disturbing activities within 50 metres of the mapped Northern Quoll foraging and denning habitat within the Mine Development Envelope, unless otherwise agreed by the CEO, the proponent shall implement the approved plan required by condition 11-1.	Annual internal analysis of compliance reported in CAR.	CAR	CEO		Pre-construction	Prior to the commencement of ground disturbing activities within 50 metres of Northern Quoll foraging and denning habitat.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.
993:M11.5	Terrestrial Fauna - Northern Quoll	Revisions to the Northern Quoll Management Plan may be approved by the CEO.	Plan prepared by suitably qualified external consultant.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.
993:M11.6	Terrestrial Fauna - Northern Quoll	The proponent shall implement approved revisions of the Northern Quoll Management Plan required by condition 11-5.	Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Northern Quoll Management Plan has not been submitted to the OEPA.
993:M12.1	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	Prior to the commencement of ground disturbing activities within the catchment of Site 12 Pool that is located within the Mine Development Envelope, as delineated in Figure 8 of Schedule 1 and defined by the geographic coordinates in Schedule 2, the proponent shall prepare a Water Quality and Quantity Monitoring Plan in consultation with the Department of Water, to the requirements of the CEO, to demonstrate that condition 12-2 has been met.	Plan prepared by suitably qualified external consultant. Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO	DoW	Pre-construction	Prior to the commencement of ground disturbing activities within the catchment of Site 12 Pool.	NR	As no works have commenced under MS 993, a Water Quality and Quantity Monitoring Plan has not been submitted to the OEPA.
993:M12.2	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	The proponent shall ensure that the implementation of the proposal within the catchment of Site 12 Pool that is located within the Mine Development Envelope, as delineated in Figure 8 of Schedule 1 and defined by the geographic coordinates in Schedule 2, does not have a detrimental impact on the water quality or hydrological regime of Site 12 Pool, through the implementation of conditions 12-3 to 12-7.	Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Works have not commenced under MS 993.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M12.3	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	The Water Quality and Quantity Monitoring Plan shall include: (i) the location of monitoring sites for monitoring water quality and quantity within Site 12 Pool; (ii) baseline water quality and quantity survey data collected at monitoring sites identified pursuant to condition 12-3 (i); (iii) protocols, procedures and frequency for monitoring and evaluating water quality and quantity at monitoring sites required under condition 12-3 (i); (iv) specified trigger levels for all run-off (including rain water run-off) from the Mine Development Envelope (including pH, total acidity, total alkalinity, dissolved iron), with reference to Managing Acid and Metalliferous Drainage (DITR, 2007), and turbidity (including impacts related to increased sedimentation); and (v) a framework for development of management and contingency actions to be implemented for mitigating changes to the water quality and quantity in the event that any trigger levels referred to in condition 12-3 (iv) are not met.	Plan prepared by suitably qualified external consultant. Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	Written notification from the CEO.	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Water Quality and Quantity Monitoring Plan has not been submitted to the OEPA.
993:M12.4	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	Prior to the commencement of ground disturbing activities within the catchment of Site 12 Pool that is located within the Mine Development Envelope, unless otherwise agreed by the CEO, the proponent shall implement the approved plan required by condition 12-1.	Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Pre-construction	Prior to the commencement of ground disturbing activities within the catchment of Site 12 Pool.	NR	As no works have commenced under MS 993, a Water Quality and Quantity Monitoring Plan has not been submitted to the OEPA.
993:M12.5	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	Revisions to the Water Quality and Quantity Monitoring Plan may be approved by the CEO.	Plan prepared by suitably qualified external consultant.	Written notification from the CEO	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Water Quality and Quantity Monitoring Plan has not been submitted to the OEPA.
993:M12.6	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	The proponent shall implement approved revisions of the Water Quality and Quantity Monitoring Plan required by condition 12-5.	Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	As no works have commenced under MS 993, a Water Quality and Quantity Monitoring Plan has not been submitted to the OEPA.
993:M12.7	Water Quality and Quantity at Site 12 Pool (Pilbara Olive Python habitat)	In the event that monitoring required by condition 12-3(iii), indicates that the trigger levels developed pursuant to condition 12-3 (iv), are exceeded, or likely to be exceeded, due to surface or groundwater run-off from within the Mine Development Envelope, the proponent shall; (i) investigate to determine the likely cause(s) of the trigger levels required by condition 12-3 (iv) being exceeded; and (ii) if the exceedance is likely to be the result of activities undertaken in implementing the proposal, implement management and/or contingency measures required by condition 12-3 (v) and continue implementation until trigger levels required by condition 12-3 (iv) are met, or until otherwise agreed by the CEO; and (iii) provide a report that describes the investigation required by condition 12-7 (i) and measures required by condition 12-3 (v) to the CEO within 21 days of identification that criteria required by condition 12-3 (iv) has been exceeded.	Monitoring undertaken in accordance with the plan. Results are analysed by suitably qualified consultant. Annual internal analysis of compliance reported in CAR.	CAR Copies of correspondence.	CEO		Overall	In the event that monitoring required by condition 12-3(iii), indicates that the trigger levels developed pursuant to condition 12-3 (iv), are exceeded, or likely to be exceeded, due to surface or groundwater run-off from within the Mine Development Envelope.	NR	Works have not commenced under MS 993.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M13.1	Terrestrial Fauna - Trapped Fauna	The proponent shall ensure that open trenches associated with construction of Linear Infrastructure in the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope are cleared of trapped fauna by fauna-rescue personnel at least twice daily. Details of all fauna recovered shall be recorded, consistent with condition 13-5. The first daily clearing shall be completed prior to any construction or backfilling or no later than three hours after sunrise, whichever event occurs first, and shall be repeated between the hours of 3:00pm and 6:00pm of that same day.	Requirement for trench inspections included in applicable internal GDPs. Inspection reports prepared and provided to CEO and DPAW. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Construction	At least twice daily in accordance with the condition.	NR	No trenches have been constructed as works have not commenced under MS 993.
993:M13.2	Terrestrial Fauna - Trapped Fauna	The fauna-rescue personnel shall obtain the appropriate licences required for fauna rescue under the Wildlife Conservation Act 1950 and be trained in the following: fauna identification, capture and handling (including specially protected fauna and venomous snakes likely to occur in the area); (ii) identification of tracks, scats, burrows and nests of conservation-significant species; (iii) fauna vouchering (of deceased animals); (iv) assessing injured fauna for suitability for release, rehabilitation or euthanasia; (v) familiarity with the ecology of the species which may be encountered in order to be able to appropriately translocate fauna encountered; and (vi) performing euthanasia.	Requirement for trench inspections included in applicable internal GDPs. Inspection reports prepared and provided to CEO and DPAW. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Construction	Construction of any linear infrastructure requiring trenching	NR	Works have not commenced under MS 993.
993:M13.3	Terrestrial Fauna - Trapped Fauna	Open trench lengths shall not exceed a length capable of being inspected and cleared by the fauna-rescue personnel within the required times as set out in condition 13-1.	Requirement for trench inspections included in applicable internal GDPs. Inspection reports prepared and provided to CEO and DPAW. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Construction	Construction of any linear infrastructure requiring trenching	NR	No trenches have been constructed as works have not commenced under MS 993.
993:M13.4	Terrestrial Fauna - Trapped Fauna	Ramps providing egress points and/or fauna refuges providing suitable shelter from the sun and predators for trapped fauna are to be placed in the trench at intervals not exceeding 50 metres.	Requirement for trench inspections included in applicable internal GDPs. Inspection reports prepared and provided to CEO and DPAW. Annual internal analysis of compliance reported in CAR.	CAR	CEO		Construction	Construction of any linear infrastructure requiring trenching	NR	No trenches have been constructed as works have not commenced under MS 993.
993:M13.5	Terrestrial Fauna - Trapped Fauna	The proponent shall produce a report detailing fauna management within the open trenches associated with construction of the Linear Infrastructure in the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope. The report shall include the following: (i) details of all fauna inspections; (ii) the number and type of fauna cleared from trenches; (iii) fauna mortalities; and (iv) all actions taken. The report shall be provided to the CEO and the Department of Parks and Wildlife, 21 days after the completion of the construction of the Linear Infrastructure in the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope or at a timeframe agreed by the CEO.	Inspection reports prepared and provided to CEO and DPAW.	Trench inspection report.	CEO and DPAW		Post-construction	21 days after the completion of the construction of linear infrastructure in the Water Corridor Development Envelope, the Slurry Corridor Development Envelope and the Infrastructure Corridor Development Envelope.	NR	As works have not commenced under MS 993, a fauna management report has not been prepared to date.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M14.1	Offsets (Integrating Factor)	In view of the significant residual impacts and risks as a result of implementation of the proposal, the proponent shall contribute funds for the clearing of 'good to excellent' condition native vegetation, including the loss of habitat for conservation significant species, in the Chichester IBRA subregion, and calculated pursuant to condition 14-2. This funding shall be provided to a government-established conservation offset fund or an alternative offset arrangement providing an equivalent outcome as determined by the Minister.	Receipts of all funds paid to offset. Internal analysis to determine correct amounts are paid in accordance the impact reconciliation procedure. Annual internal analysis of compliance reported in CAR.	Copies of invoices and/or receipts.	CEO		Overall	Ongoing for the life of the Project.	NR	Funds were not required to be contributed during the reporting period as works have not commenced under MS 993.
993:M14.2	Offsets (Integrating Factor)	The proponent's contribution to the initiative identified in condition 14-1 shall be paid biennially, the first payment due on 31 May in the second year following the commencement of ground disturbance. The amount of funding will be made on the following basis and in accordance with the approved Impact Reconciliation Procedure required by condition 14-3: (i) \$750 AUD (excluding GST) per hectare of 'good to excellent' condition native vegetation cleared within the mine development envelope (delineated in Figure 1 and defined by the geographic coordinates in Schedule 2) within the Chichester IBRA subregion; (ii) \$750 AUD (excluding GST) per hectare of 'good to excellent' condition native vegetation cleared within the Water Corridor Development Envelope and Slurry Corridor Development Envelope (delineated in Figures 2 and 3 and defined by the geographic coordinates in Schedule 2) within the Chichester IBRA subregion.	Receipts of all funds paid to offset. Internal analysis to determine correct amounts are paid in accordance the impact reconciliation procedure. Annual internal analysis of compliance reported in CAR.	Copies of invoices and/or receipts.	CEO		Overall	Biennially, with the first payment due on 31 May in the second year following the commencement of ground disturbance.	NR	Funds were not required to be contributed during the reporting period as works have not commenced under MS 993.
993:M14.3	Offsets (Integrating Factor)	The real value of contributions described in condition 14-2 (i) and 14-2 (ii) will be maintained through indexation to the Perth Consumer Price Index (CPI), with the first adjustment to be applied to the first contribution.	Receipts of all funds paid to offset. Internal analysis to determine correct amounts are paid in accordance the impact reconciliation procedure. Annual internal analysis of compliance reported in CAR.	Records of calculations.	CEO		Overall	Ongoing for the life of the Project.	NR	Funds were not required to be contributed during the reporting period as works have not commenced under MS 993.
993:M14.4	Offsets (Integrating Factor)	Should the proponent be required to provide an offset under a condition of approval of the Australian Government under the <i>Environment Protection and Biodiversity Conservation Act 1999</i> , the proponent may write to the CEO seeking a reduction in the funding required under condition 14-2.	Receipts of all funds paid to offset. Internal analysis to determine correct amounts are paid in accordance the impact reconciliation procedure. Annual internal analysis of compliance reported in CAR.	Copies of correspondence. Copies of receipts and/or invoices.	CEO		Overall	Ongoing for the life of the Project.	NR	Provision of offsets are also required under Condition 4 of EPBC 2012/6689, however funds were not required to be contributed during the reporting period as works have not commenced under MS 993.
993:M14.5	Offsets (Integrating Factor)	The proponent shall prepare an Impact Reconciliation Procedure to the satisfaction of the CEO.	Impact Reconciliation procedure approved by CEO. Internal analysis to determine compliance with procedure.	Written notification from the CEO.	CEO		Overall	Prior to 31 May in the second year following the commencement of ground disturbance	NR	As works have not commenced under MS 993, an Impact Reconciliation Procedure has not been prepared to date.

Audit Code	Subject	Requirement	How	Evidence	Satisfy	Advice	Phase	Timeframe	Status	Further Information
993:M14.6	Offsets (Integrating Factor)	The Impact Reconciliation Procedure required pursuant to condition 14-5 shall: (i) include a methodology to identify clearing of 'good to excellent' condition native vegetation in the Chichester IBRA subregion; (ii) require the proponent to submit spatial data identifying areas of 'good to excellent' condition native vegetation that has been cleared; (iii) include a methodology for calculating the amount of clearing undertaken during each biennial time period; (iv) include a methodology for calculating the amount of temporary vegetation clearing for the haul road and related infrastructure that has commenced rehabilitation within twelve months of final commissioning of the haul road; (v) state the biennial time period commences on the 1 March prior to commencing ground disturbance and the due date for submitting the results of the Procedure for approval of the CEO as 31 March following the end of the first biennial period; and (vi) identify that any areas cleared within the Water Corridor Development Envelope (Figure 2 of Schedule 1 and geographic coordinates in Schedule 2) and Slurry Corridor Development Envelope (Figure 3 of Schedule 1 and geographic coordinates in Schedule 2) that have not commenced rehabilitation within 12 months of final commissioning of each pipeline are to be considered part of the Water Corridor Development Envelope and Slurry Corridor Development Envelope and must be included in the area subject to condition 14-2.	Impact Reconciliation procedure approved by CEO. Internal analysis to determine compliance with procedure. Report provided to CEO detailing offset calculations prepared biennially.	Written notification from the CEO.	CEO		Overall	Ongoing for the life of the Project.	NR	As works have not commenced under MS 993, an Impact Reconciliation Procedure has not been prepared to date.
993:M15.1	Minor or Preliminary Activities	Notwithstanding those conditions which constrain the undertaking of a specified activity prior to the proponent submitting a plan or report to the CEO and receiving written notice from the CEO that the plan or report meets the requirements of the condition, the CEO may consent, in writing, to the proponent undertaking specified minor and preliminary activity, provided the proponent demonstrates to the CEO that the specified minor and preliminary activity will not undermine the purpose or objectives of the plan or report. This condition does not apply to conditions relating to the submission baseline surveys or disturbance footprint plans.	Written requests and approvals uploaded to internal document management system. Approval for minor or preliminary works identified in applicable internal GDPs.	Written notification from the CEO.	CEO		Overall	Prior to the approval of any plan or report, required by the conditions of MS 993, by the CEO.	NR	Works have not commenced under MS 993.
993:M16.1	Public Availability of Data, Plans, Programs and Surveys	The proponent shall make all plans approved under these conditions, and all surveys which meet the requirements of these conditions, available to the public in a manner approved by the CEO.	All plans and surveys will be made available upon request in accordance with the OEPA's Post Assessment Guideline No. 4.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	No requests have been received for copies of the plans produced to date.
993:M17.1	Staging and Timing for the Submission of Programs	Where these conditions require surveys, plans and reports to be submitted prior to a specified activity being undertaken, if that activity is to be undertaken in stages or sections, then the surveys, plans and reports may be submitted that relates only to (and prior to) the undertaking of the specified activity relating to that stage or section. Subsequent surveys, plans and reports submitted for the subsequent stages or sections of that activity must update and consolidate the surveys, plans and reports.	Submission of surveys, plans and reports for a stage or section. Subsequent surveys, plans and reports updated and consolidated with the previous revisions. Compliance reported annually in the CAR.	CAR	CEO		Overall	Ongoing for the life of the Project.	NR	Works have not commenced under MS 993.

3. DISCUSSION

This document meets the requirement of Condition 4-6 to submit to the CEO the first CAR 15 months from the date of issue of MS 993 addressing the 12 month period from the date of MS 993.

A review of compliance with MS 993 conditions was undertaken in this CAR. As works under MS 993 have not yet commenced, no compliance issues have been recorded for the reporting period (9 January 2015 – 8 January 2016). A number of management plans required to be prepared prior to the commencement of certain activities have been submitted to and approved by the OEPA. These include:

- *Pityrodia* sp. Marble Bar Regional Survey Plan
- Report documenting the results of the *Pityrodia* sp. Regional Survey Plan and Regional Survey Results
- Conservation Significant Flora and Vegetation Survey Plan
- Cave 13 Structural Report.

The North Star Magnetite Project Public Environmental Review document is available on the Fortescue website which can be accessed by the public. Appendices containing supporting information are also available.

An Audit Table has been completed outlining compliance with each MS 993 condition and is presented as Table 1 of this report. A Statement of Compliance (Post Assessment Form 2) has been completed and is provided as Appendix 1.

4. REVIEW OF ASSESSMENT PLAN

The final CAP was submitted to the OEPA on 2 October 2015 and was accepted by the OEPA in correspondence dated 14 October 2015 (Ref: AC09-2015-0003, 2015-0001270306).

No revisions to the CAP are currently proposed.

5. REFERENCES

Ecologia (2015). *Pityrodia sp. Marble Bar Regional Survey 2015*. Unpublished report prepared by *ecologia* Environment for FMG Iron Bridge (Aust) Pty Limited.

GHD (2015). *Cave 13 Lateral Extent and Structural Assessment*. Unpublished report prepared by *GHD* for FMG Iron Bridge (Aust) Pty Limited.

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Appendix 1: Statement of Compliance (Post Assessment Form 2)

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Statement of Compliance

1 Proposal and Proponent Details

Proposal Title	North Star Magnetite Project
Statement Number	Ministerial Statement 993
Proponent Name	FMG Iron Bridge (Aust) Pty Ltd
Proponent's Australian Company Number (where relevant)	150 848 025

2 Statement of Compliance Details

Reporting Period	9/01/15 to 8/01/16
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Implementation phase(s) during reporting period (please tick ✓ relevant phase(s))							
Pre-construction	✓	Construction		Operation		Decommissioning	

Audit Table for Statement addressed in this Statement of Compliance is provided at Section:	2
See Table 1 of the Compliance Assessment Report for a copy of the Audit Table.	

Were all implementation conditions and/or procedures of the Statement complied with within the reporting period? (please tick ✓ the appropriate box)			
No (please proceed to Section 3)		Yes (please proceed to Section 4)	✓

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance. INITIALS: 12

3 Details of Non-compliance(s) and/or Potential Non-compliance(s)

The information required Section 3 must be provided for each non-compliance or potential non-compliance identified during the reporting period covered by this Statement of Compliance.

Non-compliance/potential non-compliance 3-1

Which implementation condition or procedure was non-compliant or potentially non-compliant?
Was the implementation condition or procedure non-compliant or potentially non-compliant?
On what date(s) did the non-compliance or potential non-compliance occur (if applicable)?

Was this non-compliance or potential non-compliance reported to the General Manager, OEPA?	
<input type="checkbox"/> Yes <input type="checkbox"/> Reported to OEPA verbally Date _____ <input type="checkbox"/> Reported to OEPA in writing Date _____	<input type="checkbox"/> No


What are the details of the non-compliance or potential non-compliance and where relevant, the extent of and impacts associated with the non-compliance or potential non-compliance?
What is the precise location where the non-compliance or potential non-compliance occurred (if applicable)? (please provide this information as a map or GIS co-ordinates)
What was the cause(s) of the non-compliance or potential non-compliance?
What remedial and/or corrective action(s), if any, were taken or are proposed to be taken in response to the non-compliance or potential non-compliance?
What measures, if any, were in place to prevent the non-compliance or potential non-compliance before it occurred? What, if any, amendments have been made to those measures to prevent re-occurrence?
Please provide information/documentation collected and recorded in relation to this implementation condition or procedure: <ul style="list-style-type: none"> • in the reporting period addressed in this Statement of Compliance; and • as outlined in the approved Compliance Assessment Plan for the Statement addressed in this Statement of Compliance. (the above information may be provided as an attachment to this Statement of Compliance)

For additional non-compliance or potential non-compliance, please duplicate this page as required.

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance. INITIALS: 12

4 Proponent Declaration

I, Ian Zlatnik - Manager Approvals + Environment (full name and position title) declare that I am authorised on behalf of Simon Carter - CEO (being the person responsible for the proposal) to submit this form and that the information contained in this form is true and not misleading.

Signature:  Date: 1/3/16

Please note that:

- it is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give or cause to be given information that to his knowledge is false or misleading in a material particular; and
- the General Manager of the OEPA has powers under section 47(2) of the *Environmental Protection Act 1986* to require reports and information about implementation of the proposal to which the statement relates and compliance with the implementation conditions.

5 Submission of Statement of Compliance

One hard copy and one electronic copy (preferably PDF on CD or thumb drive) of the Statement of Compliance are required to be submitted to the General Manager, OEPA, marked to the attention of Manager, Compliance Branch.

Please note, the OEPA has adopted a procedure of providing written acknowledgment of receipt of all Statements of Compliance submitted by the proponent, however, the OEPA does not approve Statements of Compliance.

6 Contact Information

Queries regarding Statements of Compliance, or other issues of compliance relevant to a Statement may be directed to Compliance Branch, OEPA:

Manager, Compliance Branch
Office of the Environmental Protection Authority

Postal Address: Locked Bag 10
 EAST PERTH WA 6892

Phone: (08) 6145 0800

Email: compliance@epa.wa.gov.au

7 Post Assessment Guidelines and Forms

Post assessment documents can be found at www.epa.wa.gov.au in the following locations:

- Post Assessment Guidelines: Home>Policies and Guidelines>Post Assessment Guidelines;
- Post Assessment Forms: Home>Post Assessment Forms.

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance. INITIALS: IZ

ATTACHMENT 1

Table 1 Compliance Status Terms

Compliance Status Terms	Abbrev	Definition	Notes
Compliant	C	Implementation of the proposal has been carried out in accordance with the requirements of the audit element.	This term applies to audit elements with: <ul style="list-style-type: none"> ongoing requirements that have been met during the reporting period; and requirements with a finite period of application that have been met during the reporting period, but whose status has not yet been classified as 'completed'.
Completed	CLD	A requirement with a finite period of application has been satisfactorily completed.	This term may only be used where: <ul style="list-style-type: none"> audit elements have a finite period of application (e.g. construction activities, development of a document); the action has been satisfactorily completed; and the Office of the Environmental Protection Authority (OEPA) has provided written acceptance of 'completed' status for the audit element.
Not required at this stage	NR	The requirements of the audit element were not triggered during the reporting period.	This should be consistent with the 'Phase' column of the audit table.
Potentially Non-compliant	PNC	Possible or likely failure to meet the requirements of the audit element.	This term may apply where during the reporting period the proponent has identified a potential non-compliance and has not yet finalized its investigations to determine whether non-compliance has occurred.
Non-compliant	NC	Implementation of the proposal has not been carried out in accordance with the requirements of the audit element.	This term applies where the requirements of the audit element are not "complete" have not been met during the reporting period.

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POST ASSESSMENT FORM 2

Compliance Status Terms	Abbrev	Definition	Notes
In Process	IP	Where an audit element requires a management or monitoring plan be submitted to the OEPA or another government agency for approval, that submission has been made and no further information or changes have been requested by the OEPA or the other government agency and assessment by the OEPA or other government agency for approval is still pending.	<p>The term 'In Process' may not be used for any purpose other than that stated in the Definition Column.</p> <p>The term 'In Process' may not be used to describe the compliance status of an implementation condition and/or procedure that requires implementation throughout the life of the project (e.g. implementation of a management plan).</p>

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance. INITIALS: IZ